Liquid Capital Statement

for the month of 30-NOV-20

of Ms. AL Habib Capital Markets (Pvt.) Ltd.

Submission Date 15-DEC-2020 11:41:35

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a 350	Week 2	*******	Tailer Costs /	
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
		rum nupcc	ria jasemerres	Varac
1	Assets			
1.1	Property & Equipment	7,845,816	7,845,816	
1.2	Intangible Assets	2,926,682	2,926,682	
1.3	Investment in Govt. Securities	159,619,139	159,619,139	159,619,139
1.4	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year. ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	53,627,038	8,085,862	45,541,176
	respective securities whichever is higher.	55,521,555	2,000,000	,,
	ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities	41,062,597	41,062,597	
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)		, ,	
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher. ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	1,400,000	1,400,000	
	or any other entity.			
1.9	Margin deposits with exchange and clearing house. Deposit with authorized intermediary against borrowed securities under SLB.	37,000,000	0	37,000,000
1.10	Other deposits and prepayments	30,023,006	30,023,006	
	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	4,593,834	0	4,593,834
	etc.(Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.			
1.14	Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo agrangement			
	shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	45,384	0	45,384
	ii. Receivables other than trade receivables	2,093,469	2,093,469	
1.16	Receivables from clearing house or securities exchange(s)	2,033,403	2,090,409	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.			
1.17	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the	52,774,190	45,716,873	45,716,873
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)	52,774,190	45,710,073	45,710,673
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut	F0 100 C 1		E0 100 C11
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value	52,139,911	0	52,139,911
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	10,726,689	5,458,632	5,458,632
	value of securities purchased for customers and held in sub-accounts after applying VAR based			
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.	1,192,043	1,192,043	
1.18	Cash and Bank balances	1,102,040	1,102,040	
	i. Bank Balance-proprietory accounts	2,221,370	0	
	ii. Bank balance-customer accounts	388,482,036		
	iii. Cash in hand	150,159	0	150,159

Liquid Capital Statement

for the month of 30-NOV-20

of Ms. AL Habib Capital Markets (Pvt.) Ltd.

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1.19 Total Assets 847,923,963 305,424,119 740,968,514 2 Illabilities 3 Tage Payoles Security Se					
Labellities	S.No.	Head of Account	Value in Pak Rupee		Net Adjusted Value
Lebilities Population Security Secur	1.19	Total Assets	847,923,363	305,424,119	740,968,514
Payable to exchange and clearing house Payable to customes Payable to Payable to Customes Payable to Payable to Payable	2				
Projects against leveraged market products 366,003,500 0 3	2.1	Trade Payables			
## Protein to continues 1			59,040,363	0	59,040,363
Current Liabilities 26,081,107 0 26,081,107			205 002 500	0	205 002 500
Statutory and equal terror dues 26,081,167 0 26,081,167 0 26,081,167 0 26,081,167 0 26,081,167 0 26,081,167 0 55,574,165 0 55,5	2.2		365,003,500	0	365,003,500
il. Accrusia and ordine papables il. Accrusia and ordine papables il. Short-cemb pronovers iv. Current potition of subaddinabilities vi. Description of subaddinabilities vi. Description of subaddinabilities vi. Provision for bustion vi. Description of subaddinabilities vi. Provision for bustion vi. Current public of subaddinabilities vi. Provision for bustion vi. Current public of subaddinabilities vi. Provision for bustion vi. Current subbilities vi. Comment subbilities vi. Vi. Vi. Comment subbilities vi. Vi. Vi. Comment subbilities vi. V	2.2				
V. Current portion of subordinated clanes V. Current portion of subordinated clanes V. Current Liabilities V. Determed Liabilities V. Dete		ii. Accruals and other payables	26,081,157	0	26,081,157
V. Current portion of long term liabilities vi. Defered Liabilities vi. Porobion for bad debts vi. Porobion for bad debts vi. Other liabilities as per accounting principles and included in the financial statements vi. V. Other Liabilities vi. V. V. Other Liabilities vi. V. V. Other Liabilities vi. V. Other Liabilities			55,574,165	0	55,574,165
vi. Deterned Liabilities vi. Provision for bast debts. vii. Pr					
Will Provision for travation W. Other (isolitiles as per accounting principles and included in the financial statements W. Other (isolitiles as per accounting principles and included in the financial statements W. Other (isolitical isolitide) included including amount due against finance leave S. Long. Ferm financing obtained from S. Long. Ferm financing					
No. Current Liabilities as per accounting principles and included in the financial statements		vii. Provision for bad debts			
Non-Current Labilities Long-Term financing S. Long-Term financing obtained from financing institution including amount due against finance lease Other long-term financing					
Li Long-Term financing a Long-Term financing between the control of the control o	2.3				
a Long - lerm financing obtained from financial institution Louding amount dieu against finance lease b. Other long - term financing I. Staff rottenent term financing I. Staff rottenent term financing II. Staff rottenent term financing III. Staff rottenent must be submitted to exchange III. Staff rottenent must be	2.5				
li. Staff retirement benefits lii. Advance against shares for increase in Capital of Securities broker: 100% halicult may be allowed in respect of advance against shares if: a. The exesting authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Reteard Regulatory approvsh have been obtained classified in the increase in paid up capital have been obtained elating to the increase in paid up capital have been completed. e. Auditor is statified that such advance and all regulatory requirements elating to the increase in paid up capital have been completed. e. Auditor is statified that such advance and all regulatory requirements elating to the increase in paid up capital have been completed. e. Auditor is statified that such advance as against the increase of capital. iv. Other liabilities as per accounting principles and included in the financial statements. 84.175 4. Subordinated Loans i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule Illi provides that 100% hatcut will be allowed against subordinated loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period. Subordinated loans which do not fulfill the conditions specified by SECP 2. Total Liabilities Sos,763,360 3. Soncentration in Margin financing The amount calculated client-to-client basis by which any amount receivable from any of the financese exceed 10% of the aggregate of amounts receivable from total finances. 3. Openchration in securities lending and borrowing The amount by which the aggregate of amounts receivable from total finances. 4. Openchration in securities lending and borrowing The manust by which the underwiting commitments and (ii) the market value of securities pledged as margins exceed the 110% of th		a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
iii Advance against shares for increase in Capital of Securities troker. 109% halrout may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directos of the company has approved the increase in capital c. Relevant Regulatory approvas have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. P. Author is sutified that such advance as against the horease of capital. E. Author is sutified that such advance as against advance and all regulatory requirements relating to the increase in paid up capital have been completed. P. Author is sutified that such advance is against the increase of capital. E. Author is substituted that such advance is against the increase of capital. E. Subordinated Loans I. 1009 of Subordinated Loans I. 1009 of Subordinated Loans which Tuffill the conditions perficed by SECP as allowed to be deducted. The Schedule III provides that 100% halcut will be allowed against subordinated loans which fulfill the conditions perficitly sty. SECP in this regard, following conditions are specified. a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is respeable within next 12 months. C. In case of early response of 160 and against and the substitute of 160 and 160					
a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in its use of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advances is against the increase of capital. In 70% of Subordinated Loans is against the increase of capital. In 70% of Subordinated Loans which fulfill the conditions repectified by SECP are allowed to be deducted: In 70% of Subordinated Loans which fulfill the conditions repectified by SECP are allowed to be deducted: In 70% of Subordinated Loans which fulfill the conditions repectified by SECP are allowed to be deducted: In 70% of Subordinated Loans which fulfill the conditions repectified by SECP are allowed to be deducted: In 70% of Subordinated Loans which fulfill the conditions repectified by SECP are allowed to be deducted. In 70% of Subordinated Loans which departs to the subordinated Loans which adjust the subordinated Loans which adjust the subordinated Loans which departs to the subordinated Loans which departs the subordinated Loans					
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3.6 Amount Payable under REPO					
3./ Repo adjustment					
	3.7	Repo adjustment			

Liquid Capital Statement

for the month of 30-NOV-20

of Ms. AL Habib Capital Markets (Pvt.) Ltd.

Submission Date 15-DEC-2020 11:41:35

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s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
3.8	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of	0	1,116,001	1,116,001
	the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	43,745,218	
3.12	Liquid Capital	342,160,003	261,678,901	191,459,936